

# Lecture 6

## Self-duality, finiteness results in semisimple case

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- 1 Self-duality of  $\pi_v(\bar{r})$
- 2 Upper bound of  $\dim_{\mathbb{F}} \mathbb{V}(\pi_v(\bar{r}))$
- 3 Finite generation (I) : semisimple case
- 4 The length

**Notation.** Keep (mostly) the notation in previous lectures.

- $K =$  unramified extension over  $\mathbb{Q}_p$  of degree  $f$  ;
- $\mathcal{O}_K =$  integers of  $K$ ,  $\mathbb{F}_q \cong \mathcal{O}_K/\mathfrak{p}$  ;
- $G = \mathrm{GL}_2(K)$ ,  $Z =$ center ;
- $B = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$ ,  $\bar{B} = \begin{pmatrix} * & 0 \\ * & * \end{pmatrix}$  ;
- $I =$  Iwahori,  $I_1 =$  pro- $p$ -Iwahori,  $H := \begin{pmatrix} [\mathbb{F}_q^\times] & 0 \\ 0 & [\mathbb{F}_q^\times] \end{pmatrix} \cong I/I_1$  ;
- $K_1 = \mathrm{Ker}(\mathrm{GL}_2(\mathcal{O}_K) \rightarrow \mathrm{GL}_2(\mathbb{F}_q))$ ,  $Z_1 = Z \cap K_1$  ;
- $(E, \mathcal{O}, \mathbb{F}) =$  rings of coefficients.

Fix  $\bar{\rho} : G_K := \text{Gal}(\bar{K}/K) \rightarrow \text{GL}_2(\mathbb{F})$  cont., written in usual form with genericity conditions (slightly modified) :

- **generic** : if  $10 \leq r_i \leq p - 12$  (as in [BHHMS1]) ;
- **strongly generic** :  $\max\{10, 2f\} \leq r_i \leq p - \max\{12, 2f + 2\}$  (as in [BHHMS2]).

Let  $\pi_v(\bar{r}) =$  smooth admissible representation of  $G$  corresponding to some globalization  $\bar{r}$  of  $\bar{\rho}$  in mod  $p$  cohomology (cf. [Lecture 1](#)).

Start with :

**Fact :** if  $\pi$  is an irreducible smooth admissible  $\mathbb{C}$ -representation of  $GL_2(K)$ , then

$$\hat{\pi} \cong \pi \otimes (\zeta^{-1} \circ \det),$$

where  $\hat{\pi} := \text{Hom}_{\mathbb{F}}(\pi, \mathbb{F})^{\infty}$  denotes the *contragredient* (or smooth dual) of  $\pi$ , and  $\zeta =$  the central character of  $\pi$ .

Galois side : 2-dimensional representation is dual to itself up to twist :

if  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ ,  $w = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ , then

$${}^T g^{-1} = \det(g)^{-1} \cdot (w^{-1} g w).$$

However, if  $\pi$  is over  $\mathbb{F}$ ,  $\hat{\pi}$  is **usually zero** (Livné, Vignéras)!

Set  $\Lambda := \mathbb{F}[[P]]$ , where  $P = \text{pro-}p$  open subgroup of  $G$ .  
 For finitely generated  $\Lambda$ -module  $M$ , set

$$E^i(M) := \text{Ext}_{\Lambda}^i(M, \Lambda).$$

**Recall** :  $\Lambda$  has global dimension  $4f$ .

Define

$$\text{grade } j_{\Lambda}(M) := \min\{i \geq 0 : E^i(M) \neq 0\},$$

$$\text{dimension } \delta_{\Lambda}(M) := \text{gld}(\Lambda) - j_{\Lambda}(M).$$

Say  $M$  is **Cohen-Macaulay** if  $j_{\Lambda}(M) = \text{pd}_{\Lambda}(M)$ . (e.g. projective  $\Rightarrow$  CM)

**Remark** (Venjakob) :

- $\text{pd}_{\Lambda}(M) = \max\{i \geq 0 : E^i(M) \neq 0\}$ .
- $\Lambda$  satisfies **Auslander condition** : for any  $N \subset E^j(M)$ ,  $j_{\Lambda}(N) \geq j$ .

Now consider  $\mathfrak{C}_G :=$  category of f.g. (left)  $\Lambda$ -modules together with a compatible action of  $G$ . (**Example** :  $\pi^\vee \in \mathfrak{C}_G$  for admissible  $\pi$ ).  
Then  $E^i(M) \in \mathfrak{C}_G$ .

### Definition

Let  $M \in \mathfrak{C}_G$  be Cohen-Macaulay. We say  $M$  is *essentially self-dual*, if

$$E^{j\lambda(M)}(M) \cong M \otimes (\zeta \circ \det)$$

for some  $\zeta$ .

**Example.** Below  $i = 3f$ .

- (a) (Kohlhaase)  $E^i((\text{Ind}_B^G \chi)^\vee) \cong (\text{Ind}_B^G \chi^{-1} \alpha_B)^\vee$ , where  $\alpha_B := \omega \otimes \omega^{-1}$ . Hence

$$E^i((\text{Ind}_B^G \chi_1 \omega^{-1} \otimes \chi_2)^\vee) \cong (\text{Ind}_B^G \chi_2 \omega^{-1} \otimes \chi_1)^\vee \otimes (\zeta \circ \det).$$

- (b)  $K = \mathbb{Q}_p$ ,  $\pi$  supersingular, then ([Koh])

$$E^i(\pi^\vee) \cong \pi^\vee \otimes (\zeta \circ \det).$$



- (c) Let  $\tilde{H}^0$  be the space of *mod p* modular forms of level  $U^v$  in global setting (ii) or (iii) of [Lecture 1](#) (or  $\tilde{H}^1$  in setting (i)).

**Theorem (Calegari-Emerton, Hill).**  $(\tilde{H}_m^0)^\vee$  is projective (hence Cohen-Macaulay), and have  $\mathbb{T} \times G$ -equivariant isomorphism

$$E^0((\tilde{H}_m^0)^\vee) \cong (\tilde{H}_m^0)^\vee \otimes \zeta.$$

### Theorem 1

If  $\text{GK}(\pi_v(\bar{r})) \leq f$ , then  $\pi_v(\bar{r})^\vee$  is essentially self-dual.

Recall ([Lecture 1](#)) :  $\delta_\Lambda(\pi_v(\bar{r})^\vee)$  is denoted  $\text{GK}(\pi_v(\bar{r}))$ .

# Patching module

Let  $R_\infty = R_{\bar{p}}^{\square} \widehat{\otimes}_{\mathcal{O}} \mathcal{O}[[x_1, \dots, x_r]]$ . Following [CEGGPS], a patching module is a non-zero  $R_\infty[G]$ -module  $\mathbb{M}_\infty$  satisfying (among others) :

- $\mathbb{M}_\infty/\mathfrak{m}_\infty \cong \pi_v(\bar{r})^\vee$  ;
- $\mathbb{M}_\infty$  is a finitely generated  $R_\infty[[\mathrm{GL}_2(\mathcal{O}_K)]]$ -module ;
- $\exists$  regular local ring  $S_\infty$  (together with  $S_\infty \rightarrow R_\infty$ ), such that  $\mathbb{M}_\infty$  is f.g. projective  $S_\infty[[\mathrm{GL}_2(\mathcal{O}_K)]]$ -module. Moreover,

$$\mathbb{M}_\infty \otimes_{S_\infty} \mathbb{F} \cong (\widetilde{H}_{\mathfrak{m}}^0)^\vee.$$

Theorem 1 follows from Example (c) and :

### Theorem (Miracle flatness, [GN])

Assume  $R_{\bar{\rho}}^{\square}$  is **regular**. If

$$\mathrm{GK}(\pi_v(\bar{r})) \leq f,$$

then the equality holds,  $\mathbb{M}_{\infty}$  is flat over  $R_{\infty}$ , and  $\mathbb{T}_m$  is complete intersection.

**(Caution** : It is crucial that  $R_{\bar{\rho}}^{\square}$  is regular.)

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**Notation.** Write  $\Lambda = \mathbb{F}[[I_1/Z_1]]$  from now on.

Recall (Lecture 5) :  $\text{gr}_{m_{I_1}}(\Lambda)$  is isomorphic to

$$\bigotimes_{i=0}^{f-1} \mathbb{F}[y_i, z_i, h_i]$$

where  $[y_i, z_i] = h_i$ ,  $[h_i, y_i] = [h_i, z_i] = 0$ , and variables with  $i \neq j$  commute. Moreover,  $\deg(y_i) = \deg(z_i) = 1$ .

The action of  $g \in H := \begin{pmatrix} [\mathbb{F}_q^\times] & 0 \\ 0 & [\mathbb{F}_q^\times] \end{pmatrix}$  :

$$g \cdot y_i = \alpha(g)^{p^i} y_i, \quad g \cdot z_i = \alpha(g)^{-p^i} z_i, \quad g \cdot h_i = h_i$$

where  $\alpha$  sends  $\begin{pmatrix} [a] & 0 \\ 0 & [d] \end{pmatrix}$  to  $ad^{-1}$  (via fixed embedding  $\mathbb{F}_q \hookrightarrow \mathbb{F}$ ).

Let

$$J := (y_i z_i, z_i y_i, 0 \leq i \leq f-1).$$

Lemma (cf. Lecture 5)

$\text{gr}(\Lambda)/J$  is isomorphic to the **commutative** ring

$$\mathbb{F}[y_i, z_i; 0 \leq i \leq f-1]/(y_i z_i; 0 \leq i \leq f-1).$$

- If  $N$  is a f.g.  $\text{gr}(\Lambda)$ -module killed by a power of  $J$ , can define  $m_{\mathfrak{p}}(N)$ , where  $\mathfrak{p}$  is a minimal prime ideal of  $\text{gr}(\Lambda)/J$ . Let

$$\mathfrak{p}_0 := (z_0, \dots, z_{f-1}).$$

- Let  $\mathcal{C}_1$  be the category of smooth adm.  $\pi$  (with central character) such that  $\text{gr}(\pi^\vee)$  is killed by a power of  $J$  (cf. [Lecture 5](#)). This is an abelian category and stable under extensions and  $E_\Lambda^i(-)$ .

# Main result

Write  $m_p(\pi)$  for  $m_p(\text{gr}(\pi^\vee))$  and  $\mathbb{V} = \mathbb{V}_{\text{GL}_2}$ .

Theorem (cf. Lecture 5)

For  $\pi \in \mathcal{C}_1$ ,  $\dim_{\mathbb{F}} \mathbb{V}(\pi) \leq m_{p_0}(\pi)$ .

Theorem 2 (BHHMS)

Let  $\bar{\rho}$  be semisimple and generic. Then  $\pi_v(\bar{r}) \in \mathcal{C}_1$  and  $m_{p_0}(\pi_v(\bar{r})) \leq 2^f r$ .

Together with the lower bound in [Lecture 4](#), we deduce

Corollary 3 (BHHMS2)

If  $\bar{\rho}$  is semisimple and strongly generic, then  $\mathbb{V}(\pi_v(\bar{r})) \cong (\text{ind}_K^{\otimes \mathbb{Q}_p} \bar{\rho})^{\oplus r}$ .

Assume  $r = 1$  (for simplicity).

**Key ingredient** : "multiplicity free property"

$$[\pi_v(\bar{r})[\mathfrak{m}_{I_1}^3] : \chi] = [\pi_v(\bar{r})^{I_1} : \chi] = 1, \quad \forall \chi \in \pi_v(\bar{r})^{I_1}.$$

This will be proved in [Lecture 7, 8](#).

**Remark.** This multiplicity-freeness implies immediately  $\text{GK}(\pi_v(\bar{r})) \leq f$ .

If  $\pi_v(\bar{r})[\mathfrak{m}_{I_1}^3]$  is multiplicity free, then  $y_i z_i$  and  $z_i y_i$  act trivially on  $\text{gr}^0(\pi_v(\bar{r})^\vee)$ . Thus  $\text{gr}(\pi_v(\bar{r})^\vee)$  is finitely generated module over  $\text{gr}(\Lambda)/J \cong \mathbb{F}[y_i, z_i]/(y_i z_i)$ , which has dimension  $f$ . □

**Example.** For  $f = 1$ ,  $\text{gr}^{\geq -2}(F[[I_1/Z_1]])$  looks like

$$\begin{array}{rcl} \text{gr}^0 & & 1 \\ \text{gr}^{-1} & & \alpha \oplus \alpha^{-1} \\ \text{gr}^{-2} & & \alpha^2 \oplus \mathbf{1} \oplus \mathbf{1} \oplus \alpha^{-2} \end{array}$$



# The proof

We construct an explicit graded  $\text{gr}(\Lambda)$ -module  $N$  with  $m_{\mathfrak{p}_0}(N) = 2^f$ , s.t.

$$N \twoheadrightarrow \text{gr}(\pi_\nu(\bar{r})^\vee).$$

The proof is of combinatorial nature, and uses the fact that  $\pi_\nu(\bar{r})[m_{\mathfrak{h}_1}^3]$  is multiplicity free (under weak genericity condition).

**Remark :** An obvious such module is

$$N' := \bigoplus_{\chi \in \pi_\nu(\bar{r})^{\mathfrak{h}_1}} (\text{gr}(\Lambda)/J) \otimes \chi^\vee.$$

But  $m_{\mathfrak{p}_0}(N') = \dim \pi_\nu(\bar{r})^{\mathfrak{h}_1}$  (as  $m_{\mathfrak{p}_0}(\text{gr}(\Lambda)/J) = 1$ ), which (often)  $> 2^f = |W(\bar{\rho})|$ .

**Proof of Thm.2** Divide  $\pi_v(\bar{r})^h$  as  $\mathcal{P} \cup \mathcal{P}^c$ , with

$$\mathcal{P} := \{\sigma^h : \sigma \in W(\bar{\rho})\}.$$

Then  $N$  has the form

$$N = \left( \bigoplus_{\chi \in \mathcal{P}} (\mathrm{gr}(\Lambda)/\mathfrak{a}_{\chi}) \otimes \chi^{\vee} \right) \oplus \left( \bigoplus_{\chi \in \mathcal{P}^c} (\cdots) \right)$$

for suitable  $\mathfrak{a}_{\chi}$  (containing  $J$ ) determined by relations between  $\chi$ 's.

**Key fact** : for  $\chi \in \mathcal{P}^c$ ,  $y_i \in \mathfrak{a}_{\chi}$  for some  $i$ .

Thus  $m_{\mathfrak{p}_0}(\bigoplus_{\chi \in \mathcal{P}^c} (\cdots)) = 0$ , and  $m_{\mathfrak{p}_0}(N) \leq |\mathcal{P}| = 2^f$ .

(Actually this is an equality.)

**Example 1.**  $f = 1$ .

- (a) If  $\bar{\rho}$  is irreducible, then  $\pi_v(\bar{r})$  is supersingular, and  $\dim \pi_v(\bar{r})^{h_1} = 2$  (Breuil, cf. [Lecture 2](#)), then take  $N = N'$  (Thm of Paškūnas).
- (b) If  $\bar{\rho}$  is reducible split, then  $\pi_v(\bar{r}) = \pi_0 \oplus \pi_1$  (both PS), and

$$\pi_v(\bar{r})^{h_1} = (\chi_{\sigma_0} \oplus \chi_{\sigma_0}^s) \oplus (\chi_{\sigma_1} \oplus \chi_{\sigma_1}^s).$$

**Fact :**  $\chi_{\sigma_0}^s = \chi_{\sigma_1} \alpha$ ,  $\chi_{\sigma_1}^s = \chi_{\sigma_0} \alpha$ .

Let  $\{e_0, e'_0, e_1, e'_1\}$  be dual basis of  $\pi_v(\bar{r})^{h_1}$ . By multiplicity-freeness,

$$z \cdot e_0 = z \cdot e_1 = y \cdot e'_0 = y \cdot e'_1 = 0.$$

Take  $N$  to be

$$(\text{gr}(\Lambda)/(z, h) \otimes \chi_{\sigma_0}^{\vee}) \oplus (\text{gr}(\Lambda)/(y, h) \otimes (\chi_{\sigma_0}^s)^{\vee}) \oplus (\text{others}).$$

**Example 2.**  $f = 2$ ,  $\bar{\rho}$  irreducible. Then  $W(\bar{\rho}) = \{\sigma_1, \sigma_2, \sigma_3, \sigma_4\}$ , where

$$\sigma_1 = (r_0, r_1), \quad \sigma_2 = (r_0 - 1, p - 2 - r_1)$$

$$\sigma_3 = (p - 1 - r_0, p - 3 - r_1), \quad \sigma_4 = (p - 2 - r_0, r_1 + 1)$$

(up to twist, cf. [Lecture 3](#)). Moreover,

$$\pi_v(\bar{r})^h \cong \bigoplus_{i=1}^4 (\chi_{\sigma_i} \oplus \chi_{\sigma_i}^s).$$

One checks  $\chi_{\sigma_3}^s = \chi_{\sigma_1} \alpha^p$ , etc.

By multiplicity freeness of  $\pi_v(\bar{r})[m_1^3]$ , take  $N$  to be

$$(\mathrm{gr}(\Lambda)/(J, z_1) \otimes \chi_{\sigma_1}^{\vee}) \oplus (\mathrm{gr}(\Lambda)/(J, y_1) \otimes (\chi_{\sigma_3}^s)^{\vee}) \oplus (\text{others}).$$

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Assume  $\bar{\rho}$  is semisimple and strongly generic.

### Theorem 4 (BHHMS2)

As a  $G$ -representation,  $\pi_v(\bar{r})$  can be generated by  $D_0(\bar{\rho})$ .

The proof uses the computation of  $(\varphi, \Gamma)$ -modules attached to  $\pi_v(\bar{r})$  ([Lecture 4](#)).

**Remark.** The non-semisimple case (under weaker genericity condition) will be treated in [Lecture 9](#) (due to HW, the proof is of different nature).

### Lemma 5

Let  $\pi'$  a subquotient of  $\pi_v(\bar{r})$ .

- (i)  $\dim_{\mathbb{F}} \mathbb{V}(\pi') = m_{\mathfrak{p}_0}(\pi')$ .
- (ii) If  $\pi'$  is a **sub**representation of  $\pi_v(\bar{r})$ , then

$$\dim_{\mathbb{F}} \mathbb{V}(\pi') = m_{\mathfrak{p}_0}(\pi') = \lg(\text{soc}_{\text{GL}_2(\mathcal{O}_K)} \pi').$$

In particular,  $\mathbb{V}(\pi') \neq 0$  if  $\pi' \neq 0$ .

- (iii) If  $\pi'$  is a quotient of  $\pi_v(\bar{r})$  and  $\pi' \neq 0$ , then  $\mathbb{V}(\pi') \neq 0$ .

**Proof of Thm.4** Let  $\pi_1 := \langle G.D_0(\bar{\rho}) \rangle$  and  $\pi_2 := \pi/\pi_1$ . Equiv. to show :  $\pi_2 = 0$ .

From **Lemma 5(ii)** :

$$\dim \mathbb{V}(\pi_1) = \dim \mathbb{V}(\pi_v(\bar{r})).$$

Since  $\mathbb{V}(-)$  is exact, we deduce  $\mathbb{V}(\pi_2) = 0$ , then conclude by **(iii)**.

**Proof of Lemma 5.** (i) Always have inequality (recalled above) :

$$\dim_{\mathbb{F}} \mathbb{V}(-) \leq m_{\mathfrak{p}_0}(-).$$

For  $\pi_v(\bar{r})$ , we have equality

$$\dim_{\mathbb{F}} \mathbb{V}(\pi_v(\bar{r})) \leq m_{\mathfrak{p}_0}(\pi_v(\bar{r})).$$

The claim follows.

(ii) First, the construction of  $N$  (see **Thm. 2**) shows

$$m_{\mathfrak{p}_0}(\pi') \leq \lg(\text{soc}_{\text{GL}_2(\mathcal{O}_K)} \pi').$$

Indeed, write  $N = \bigoplus_{\chi \in \mathcal{P} \cup \mathcal{P}^c} N_{\chi}$ , let  $\mathcal{P}' = \{\sigma^{\mathfrak{h}} : \sigma \in \text{soc}_{\text{GL}_2(\mathcal{O}_K)}(\pi')\}$ , then

$$m_{\mathfrak{p}_0}(\pi') \leq m_{\mathfrak{p}_0}(\bigoplus_{\chi \in \mathcal{P}'} N_{\chi}).$$



Second,  $\text{soc}_{\text{GL}_2(\mathcal{O}_K)}\pi'$  has a special form, being a direct sum of “orbits”. Namely, if  $\tau_\ell := \bigoplus_{\ell(\sigma)=\ell}\sigma$ , then

$$(\text{soc}_{\text{GL}_2(\mathcal{O}_K)}\pi') \cap \tau_\ell = 0 \text{ or } \tau_\ell.$$

By the computation of  $(\varphi, \Gamma)$ -modules ([Lecture 4](#)), each  $\langle G.\tau_\ell \rangle$  contains an *admissible* submodule which gives a  $(\varphi, \Gamma)$ -module of rank equal to  $\text{lg}(\tau_\ell)$ . Thus

$$\dim_{\mathbb{F}} \mathbb{V}(\pi') \geq \text{lg}(\text{soc}_{\text{GL}_2(\mathcal{O}_K)}\pi').$$

(iii) This uses crucially the (essential) self-duality of  $\pi_v(\bar{r})$ .

Let  $\pi''$  be  $\text{Ker}(\pi_v(\bar{r}) \twoheadrightarrow \pi')$ , so that

$$0 \rightarrow \pi''^{\vee} \rightarrow \pi_v(\bar{r})^{\vee} \rightarrow \pi'^{\vee} \rightarrow 0.$$

It induces

$$0 \rightarrow E^{2f}(\pi''^{\vee}) \rightarrow E^{2f}(\pi_v(\bar{r})^{\vee}) \xrightarrow{\gamma} E^{2f}(\pi'^{\vee}) \rightarrow E^{2f+1}(\pi''^{\vee});$$

let  $\tilde{\pi}'$  be the dual of  $\text{Image}(\gamma) \otimes \zeta^{-1}$ .

Since  $E^{2f}(\pi_v(\bar{r})^{\vee}) \otimes \zeta^{-1} \cong \pi_v(\bar{r})^{\vee}$ ,  $\tilde{\pi}'$  is a sub-rep. of  $\pi_v(\bar{r})$ .

**Claim.**  $\tilde{\pi}' \neq 0$ .

Since  $\pi_v(\bar{r})$  is CM, it is **pure** : any non-zero submodule has the same grade as  $\pi_v(\bar{r})^{\vee}$ . Thus,

$$j(\pi'^{\vee}) = j(\pi_v(\bar{r})^{\vee}) = 2f.$$

Thus  $E^{2f}(\pi'^{\vee}) \neq 0$ , and  $\text{Im}(\gamma) \neq 0$  (by Auslander condition as  $j(E^{j(M)}(M)) = j!$ ).

Notice that  $\pi'$  and  $\tilde{\pi}'$  have the same “characteristic cycle”, i.e.  $m_p(\pi') = m_p(\tilde{\pi}')$  for any  $p$ . This is because

$$m_p(E^{2f+1}(\pi''^\vee)) = 0$$

for any  $p$  (again by the Auslander condition) and the following general fact (here twisting is not important as we only look at  $m_p(-)$ ):

$$m_p(\pi'^\vee) = m_p(E^{2f}(\pi'^\vee)).$$

Hence

$$\dim_{\mathbb{F}} \mathbb{V}(\pi') = m_{p_0}(\pi') = m_{p_0}(\tilde{\pi}') = \dim_{\mathbb{F}} \mathbb{V}(\tilde{\pi}'),$$

and left to show  $\mathbb{V}(\tilde{\pi}') \neq 0$ . However,  $\tilde{\pi}'$  is a **sub-rep.** of  $\pi_\nu(\bar{r})$ , so conclude by (ii). □

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We assume from now on  $r = 1$  (NOT for simplicity).

### Theorem 5 (BHHMS2)

- (i) If  $\bar{\rho}$  is irreducible, then  $\pi_v(\bar{r})$  is irreducible.
- (ii) If  $\bar{\rho}$  is reducible split, then

$$\pi_v(\bar{r}) \cong \pi_0 \oplus \pi' \oplus \pi_f$$

with  $\pi_0, \pi_f$  principal series. If moreover  $f = 2$ , then  $\pi'$  is (irreducible) supersingular.

### Remark

- (i) In general, it is not clear if "finite generated  $\implies$  finite length".
- (ii) [BP, Thm. 19.10(ii)] (which says that if  $\bar{\rho}$  is reducible split then  $\pi$  in their construction is also semisimple) does not apply here.

**Proof of Thm.5** (i) Follows from [Lecture 3](#), once we know  $\pi_\nu(\bar{r})$  is generated by  $D_0(\bar{\rho})$  by **Theorem 4**.

(ii) Since  $\bar{\rho}$  is reducible split,  $W(\bar{\rho})$  contains two special Serre weights  $\sigma_0, \sigma_f$ , where (up to twist)

$$\sigma_0 = (r_0, r_1, \dots, r_{f-1}), \quad \sigma_f = (p-3-r_0, p-3-r_1, \dots, p-3-r_{f-1}),$$

Let  $\pi_0 := \langle \mathrm{GL}_2(K).\sigma_0 \rangle$ .

**Claim :**  $\pi_0$  is a principal series.

It is proved by a weight cycling argument ([Lecture 3](#)) together with

$$\mathrm{JH}(\mathrm{Ind}_I^{\mathrm{GL}_2(\mathcal{O}_K)} \chi_{\sigma_0}) \cap W(\bar{\rho}) = \{\sigma_0\}.$$

**Proof of Claim.** If  $0 \neq v_0 \in \sigma_0^{h_1}$ , the vector  $\begin{pmatrix} 0 & 1 \\ \rho & 0 \end{pmatrix} v_0 \in \pi^{h_1}$  with eigenchar.  $\chi_{\sigma_0}^s$ , and induces

$$\mathrm{Ind}_I^{\mathrm{GL}_2(\mathcal{O}_K)} \chi_{\sigma_0}^s \rightarrow \pi_0.$$

It is injective by (\*).

Consider the induced morphism

$$\mathrm{c}\text{-Ind}_{\mathrm{GL}_2(\mathcal{O}_K)Z}^G \sigma_0 \twoheadrightarrow \pi_0,$$

let  $T$  be the Hecke operator (Barthel-Livné), then  $T(\sigma_0) \neq 0$ .

But  $\sigma_0$  occurs in  $\mathrm{soc}_{\mathrm{GL}_2(\mathcal{O}_K)} \pi_v(\bar{r})$  with multiplicity 1, so  $T(\sigma_0) = \lambda_0 \sigma_0$  for some  $\lambda_0 \in \mathbb{F}^\times$ , i.e.  $\pi_0 \cong \mathrm{c}\text{-Ind}(\sigma_0)/(T - \lambda_0)$  is an irred. PS.  $\square$

Similarly,  $\pi_f := \langle G.\sigma_f \rangle$  is also PS. In particular,

$$\pi_0 \oplus \pi_f \hookrightarrow \pi_v(\bar{r}).$$

The essential self-duality of  $\pi_v(\bar{r})$ , and of  $\pi_0 \oplus \pi_2$  ([Example \(a\)](#)), implies that  $\pi_v(\bar{r})$  also admits  $\pi_0 \oplus \pi_f$  as a quotient.

**Need** : the composition

$$\pi_0 \oplus \pi_f \hookrightarrow \pi_v(\bar{r}) \twoheadrightarrow \pi_0 \oplus \pi_f$$

is an isomorphism.

Note that  $\pi_v(\bar{r})$  can be generated by  $\text{soc}_{\text{GL}_2(\mathcal{O}_K)} \pi_v(\bar{r}) \cong \bigoplus_{\sigma \in W(\bar{\rho})} \sigma$ . So the composition

$$\iota : \bigoplus_{\sigma} \sigma \hookrightarrow \pi_v(\bar{r}) \twoheadrightarrow \pi_0$$

is nonzero. The image being contained in the socle of  $\pi_0$ , (i.e.  $\sigma_0$ ),  $\iota|_{\sigma_0}$  is nonzero, hence induces  $\pi_0 \xrightarrow{\sim} \pi_0$ .  $\square$



## Some facts for later use :

$\mathbb{M}_{\infty}$  defines an exact functor :  $\mathcal{O}[\mathrm{GL}_2(\mathcal{O}_K)]\text{-Mod} \longrightarrow R_{\infty}\text{-Mod}$

$$M_{\infty}(\Theta) := \mathrm{Hom}_{\mathrm{GL}_2(\mathcal{O}_K)}^{\mathrm{cont}}(\mathbb{M}_{\infty}, \Theta^d)^d.$$

- (a) For  $\lambda = (a_j, b_j)_{0 \leq j \leq f-1}$  with  $a_j > b_j$ , and  $\tau : I_K \rightarrow \mathrm{GL}_2(E)$  an inertial type, set

$$V(\lambda - \eta) := \bigotimes_{0 \leq j \leq f-1} ((\mathrm{Sym}^{a_j - b_j - 1} E^2) \otimes \det^{b_j})^{\mathrm{Fr}^j}$$

with  $\eta = (1, 0)$ , and  $\sigma(\tau) :=$  smooth irred. rep (over  $E$ ) of  $\mathrm{GL}_2(\mathcal{O}_K)$  by Henniart's inertial LLC.

If  $\Theta \subset V(\lambda - \eta) \otimes \sigma(\tau)$  is an  $\mathcal{O}[\mathrm{GL}_2(\mathcal{O}_K)]$ -lattice, then  $M_{\infty}(\Theta)$  is maximal CM and the action of  $R_{\infty}$  factors through  $R_{\infty} \otimes_{R_{\bar{\rho}}} R_{\bar{\rho}}^{\lambda, \tau}$ , where  $R_{\bar{\rho}}^{\lambda, \tau}$  is Kisin's pot. semistable deformation ring of type  $(\lambda, \tau)$ .

(b)  $M_{\infty}(\Theta)/\mathfrak{m}_{\infty} \cong \text{Hom}_{\text{GL}_2(\mathcal{O}_K)}(\Theta, \pi_v(\bar{r}))^{\vee}.$

In particular,  $M_{\infty}(\Theta)$  is a cyclic  $R_{\infty}$ -module iff

$$\dim_{\mathbb{F}} \text{Hom}_{\text{GL}_2(\mathcal{O}_K)}(\Theta/\varpi\Theta, \pi_v(\bar{r})) = 1.$$

**Example.**  $[\pi_v(\bar{r})^{K_1} : \sigma] = 1$  if and only if  $M_{\infty}(\text{Proj}_{\text{GL}_2(\mathbb{F}_q)}\sigma)$  is a cyclic  $R_{\infty}$ -module.

(c) The flatness of  $M_{\infty}$  over  $R_{\infty}$  induces a Koszul type resolution of  $\pi_v(\bar{r})^{\vee}$  in terms of  $M_{\infty}$  :

$$\dots \rightarrow M_{\infty}^{\oplus \binom{n}{2}} \rightarrow M_{\infty}^{\oplus n} \rightarrow M_{\infty} \rightarrow \pi_v(\bar{r})^{\vee} \rightarrow 0.$$